

# Conservation and canalization of gene expression during angiosperm diversification accompany the origin and evolution of the flower

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**The origin and rapid diversification of the angiosperms (Darwin's "Abominable Mystery") has engaged generations of researchers. Here, we examine the floral genetic programs of phylogenetically pivotal angiosperms (water lily, avocado, California poppy, and *Arabidopsis*) and a nonflowering seed plant (a cycad) to obtain insight into the origin and subsequent evolution of the flower. Transcriptional cascades with broadly overlapping spatial domains, resembling the hypothesized ancestral gymnosperm program, are deployed across morphologically intergrading organs in water lily and avocado flowers. In contrast, spatially discrete transcriptional programs in distinct floral organs characterize the more recently derived angiosperm lineages represented by California poppy and *Arabidopsis*. Deep evolutionary conservation in the genetic programs of putatively homologous floral organs traces to those operating in gymnosperm reproductive cones. Female gymnosperm cones and angiosperm carpels share conserved genetic features, which may be associated with the ovule developmental program common to both organs. However, male gymnosperm cones share genetic features with both perianth (sterile attractive and protective) organs and stamens, supporting the evolutionary origin of the floral perianth from the male genetic program of seed plants.**

ABCE model | fading borders | floral evolution | floral origin | transcriptional profiling

The evolutionary origin of flowering plants, or angiosperms, remains one of the greatest unsolved biological mysteries. The presence of a diverse assemblage of floral forms shortly after the sudden appearance of angiosperm fossils in early Cretaceous deposits *ca.* 130 Mya suggests that a rapid radiation established most of the modern lineages within a few million years (1). Famously declared an "abominable mystery" over a century ago by Charles Darwin (in a letter to J.D. Hooker in 1879) (2), the origin and subsequent diversification of flowering plants have captured the imagination of generations of researchers in wide-ranging botanical disciplines. Essential to any explanation has been the origin of the flower itself. Hypotheses on this topic, whether based on reconstructions from morphological features (3) or developmental genetics (4, 5), all attempt to resolve the evolution of floral organs from preexisting structures in nonflowering seed plants (gymnosperms).

Flowers typically are composed of a perianth of leaf-like sepals and colorful petals surrounding stamens (the male reproductive organs) and carpels (the female reproductive organs). Angiosperm stamens and carpels are widely regarded as homologous with their functional counterparts in the simple strobili (cones) of gymnosperms, microsporophylls, and megasporophylls, respectively (see ref. 6 for alternative views), but sepals and petals are unique to flowers and therefore, lack clear evolutionary precursors. However,

extensive research on the genetic control of flower development in *Arabidopsis* has demonstrated that floral organs are cross-transformable into one another and even can be modified into leaves through genetic manipulation of certain key developmental regulators (7–9). These insights are encapsulated in the ABCE model for the genetic control of floral organ identity (Fig. 1, reviewed in refs. 5, 10, and 11). Most of what we know about the regulation of floral development has been discovered through genetic manipulation of derived eudicot model systems, but comparative analyses suggest core components of the ABCE genetic program are conserved across angiosperms (12) and may trace to an original BC program that operated in the common ancestor of all seed plants (5). However, evolutionary dynamism in the spatial deployment of ABCE function, and of B function in particular, may underlie fundamental changes in floral organization during angiosperm diversification (13).

Here, we conduct comparative analyses of global transcriptome data which encompass the properties of whole developmental systems (e.g., 14, 15) to investigate floral developmental genetics beyond the action of candidate regulatory genes. Specifically, we analyze transcriptome data for the water lily *Nuphar advena* (Nymphaeales) representing the sister lineage of all extant flowering plants except *Amborella* (16), the magnoliid *Persea americana* (avocado; Laurales), the eudicots *Eschscholzia californica* (California poppy; Ranunculales) and *Arabidopsis thaliana* (Brassicales), and the gymnosperm *Zamia vaxquezii* (Cycadales) to help reconstruct the origin and evolution of flowers. Our analyses extend previous comparisons of floral transcriptional programs (17–19) to several additional phylogenetically significant taxa, and we present insights into the genetic relationships among individual floral organs and gymnosperm reproductive cones.

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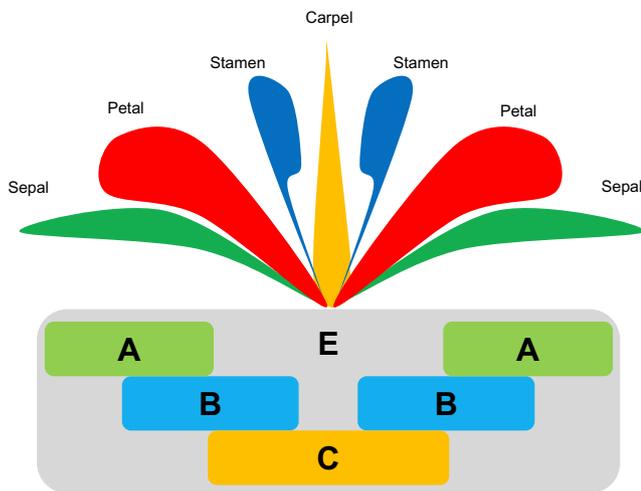
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**Fig. 1.** The ABCE model of floral organ identity. Sepals are produced where A function acts alone, petals where A and B functions overlap, stamens where B and C functions combine, and carpels where C function acts alone. In the eudicot genetic model *Arabidopsis thaliana*, *APETALA1* (*AP1*) and *APETALA2* (*AP2*) are the A-function genes, *APETALA3* (*AP3*) and *PISTILLATA* (*PI*) together specify B function, C function is specified by *AGAMOUS* (*AG*), and multiple *SEPALLATA* genes provide E function (7–9).

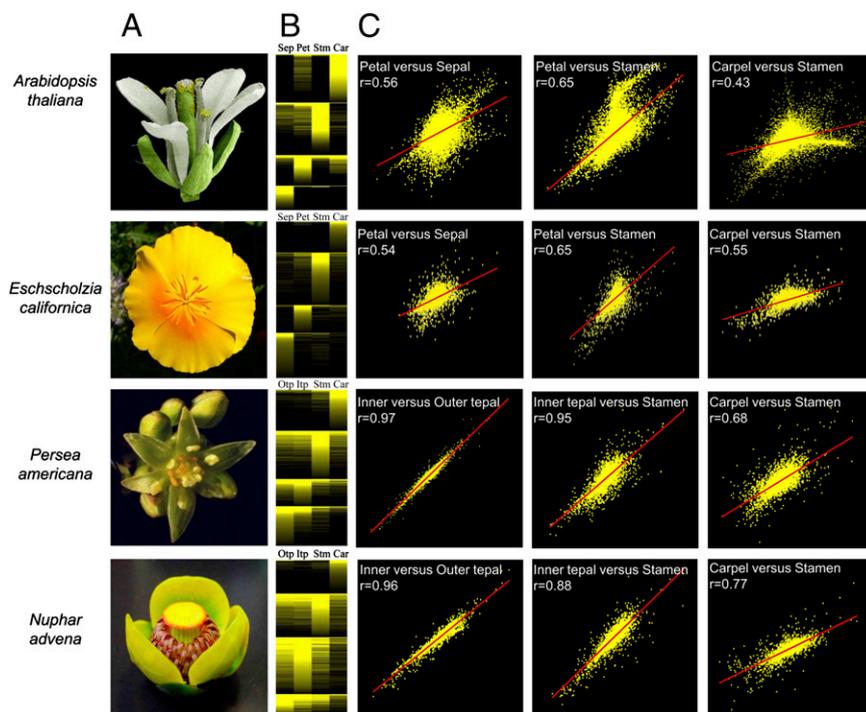
## Results

Global gene-expression data are rich in patterns of transcript abundances that we have explored through comparative cross-species analyses for evolutionary insights. We conducted a series of comparisons to examine the spatial distribution of florally biased expression in each species (Fig. 2). Manual ranking of positive log<sub>2</sub> floral organ/leaf expression ratios by floral organ of primary expression indicates that genes participating in the transcriptional programs of *Nuphar* and *Persea* flowers are deployed in broad domains across adjacent whorls and beyond, whereas those in *Arabidopsis* and *Eschscholzia* are more tightly constrained to in-

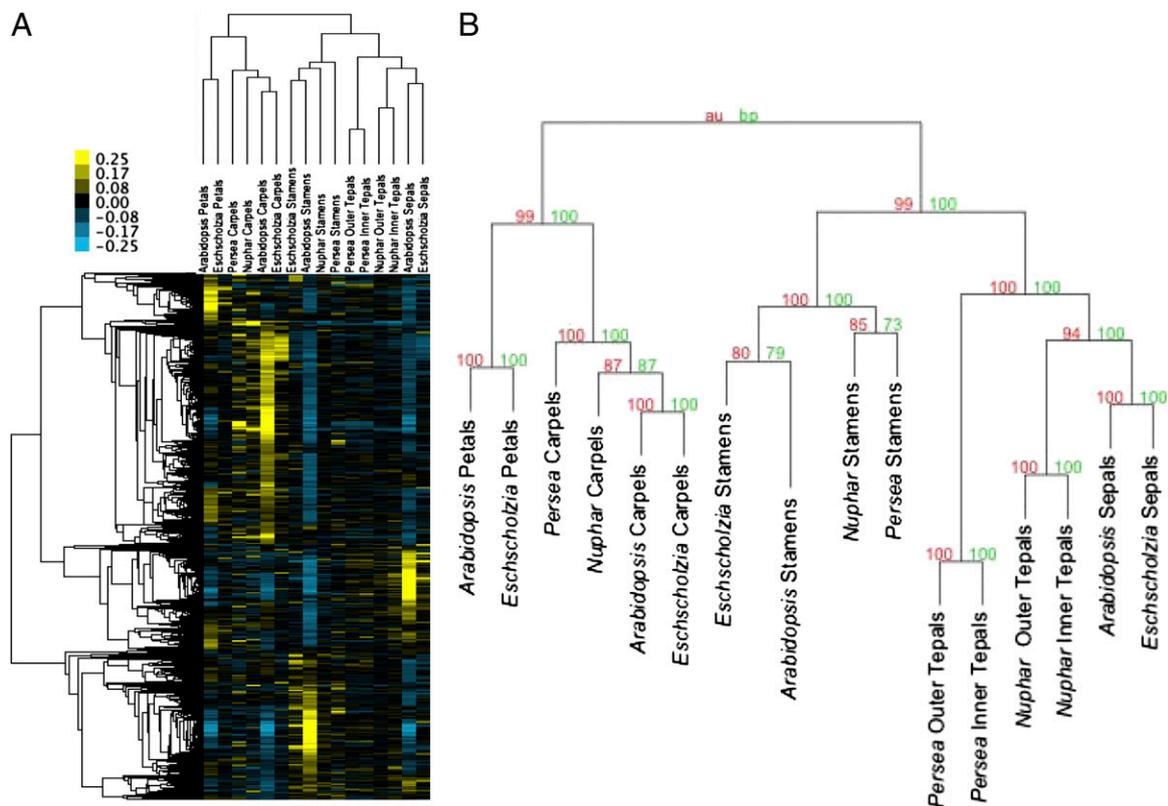
dividual floral organ categories (Fig. 2B). Likewise, pairwise comparisons of the gene-expression profiles of adjacent floral organ categories translated into substantially greater correlations in *Nuphar* and *Persea* than in the two eudicots (Fig. 2C).

Next, comparisons of organwise transcriptional profiles based on relative abundance (RA) scores (20) of all putatively homologous genes were conducted to assess process homology (i.e., sharing a genetic program that is potentially, although not necessarily, inherited from a common ancestor (21) among floral organs). We found strong evidence that carpels are process homologous, as are stamens, across angiosperms (Fig. 3). Petals of *Arabidopsis* and *Eschscholzia* also appear to be process homologous, as are sepals of these two eudicots. In contrast to the eudicots, the outer and inner perianth organs of *Nuphar* and *Persea* are not differentiated into distinct sepals and petals [this traditional distinction in *Nuphar* may be spurious (22)] but are morphologically similar and are termed “tepals.” We found that *Nuphar* and *Persea* tepals are genetically most similar to each other, within their respective flowers, and collectively are more process homologous with eudicot sepals than with any other floral organs. Deeper in the cluster hierarchy, the sepals/tepals transcriptional program was closest to that operating in stamens, whereas eudicot petals were more similar to carpels. This hierarchy of organ relationships is strongly supported by random resampling analyses (Fig. 3) and also is robust to directed resampling in three modified data sets that exclude genes not sampled in all species, exclude data for *Nuphar* and *Persea*, or exclude data for *Arabidopsis* (Fig. S1).

The potential biological significance of the gene clusters supporting these organ groupings was estimated through Gene Ontology (GO) annotation (Table S1 and Figs. S2–S7) and transcription factor binding sites (TFBS) enrichment analyses for their *Arabidopsis* members (Table S2). Genes coexpressed in sepals and tepals are involved in biological processes related to photosynthesis and defense (Fig. S2), and their promoters share various related G-box promoter elements associated with light responses (23–25), as well as the Unfolded Protein Response (UPR) motif associated with stress responses (26). Genes of the



**Fig. 2.** Canalization of floral organ transcriptional programs during angiosperm diversification. (A) Flowers of *Nuphar* and *Persea* bear an undifferentiated perianth of petaloid organs (tepals), whereas in *Eschscholzia* and *Arabidopsis* flowers the perianth is differentiated into leaf-like outer sepals and colorful inner petals. (B) Log<sub>2</sub> floral organ/leaf gene expression ratios ranked by organs of peak expression contrast the blurred boundaries between adjacent floral organs in *Nuphar* and *Persea* and the sharp boundaries in *Arabidopsis* and *Eschscholzia*. Stamen-preferential genes generally are more broadly expressed, but more so in *Nuphar* and *Persea* than in the eudicots, whereas carpel-preferential genes generally are more spatially restricted. Car, carpels; Itp, inner tepals; Otp, outer tepals; Pet, petals; Sep, sepals; Stm, stamens. The scale of log<sub>2</sub> ratios ranges from saturated yellow (1 and higher = at least twofold up-regulated) to black (0 and lower = no change or down-regulated). (C) Scatter plots of log<sub>2</sub> floral organ/leaf ratios and Pearson correlations indicate that transcriptional profiles of adjacent floral organs are more strongly correlated in *Nuphar* and *Persea* than in eudicots. Analyses are based on 4,588 *Nuphar*, 4,508 *Persea*, 5,468 *Eschscholzia*, and 12,785 *Arabidopsis* genes up-regulated in their respective flowers relative to leaves.



**Fig. 3.** Hierarchy of genetic relationships among floral organs supports the process homology of stamens, carpels, sepals, and petals, respectively, and places tepals with sepals in a group collectively closest to stamens. (A) RA scores of expression levels across floral organs within their respective flowers were clustered and subsequently mean centered for visual effect. The color scale ranges from 0.25 (yellow) to  $-0.25$  (blue). (B) Bootstrap (red) and approximately unbiased (green) support values indicate strong stability for all clusters.

stamens cluster also participate in stress responses (Fig. S3) but additionally participate in cellular growth and differentiation and pollination (probably, pollen production). G-box and UPR motifs, as well as the CArG binding site targeted by MADS-box genes, are enriched in their promoters. The cluster of genes coexpressed in sepals, tepals, and stamens is associated with stress responses, general energy-related processes (Fig. S4), and the G-box, UPR, and Low Temperature Responsive Element (LTRE) motifs. GO enrichment for petal genes highlights cellular growth and differentiation, morphogenesis, and response to stimuli (Fig. S5), and TFBS enrichment identifies the MYB1 binding site and two of the G-box elements that were found among the sepal, tepal, and stamen genes. Enrichment statistics for carpel genes indicate significant activity related to the regulation of gene expression, including both activation and silencing, along with several developmental processes (Fig. S6), and identify the TELO-box and E2F motifs that previously have been associated with rapid growth (27). Genes expressed in both petals and carpels share enrichment for the MYB1 binding site, the biological processes of petals, the cell cycle and floral development processes of carpels, and the stress responses of sepals, tepals, and stamens (Fig. S7).

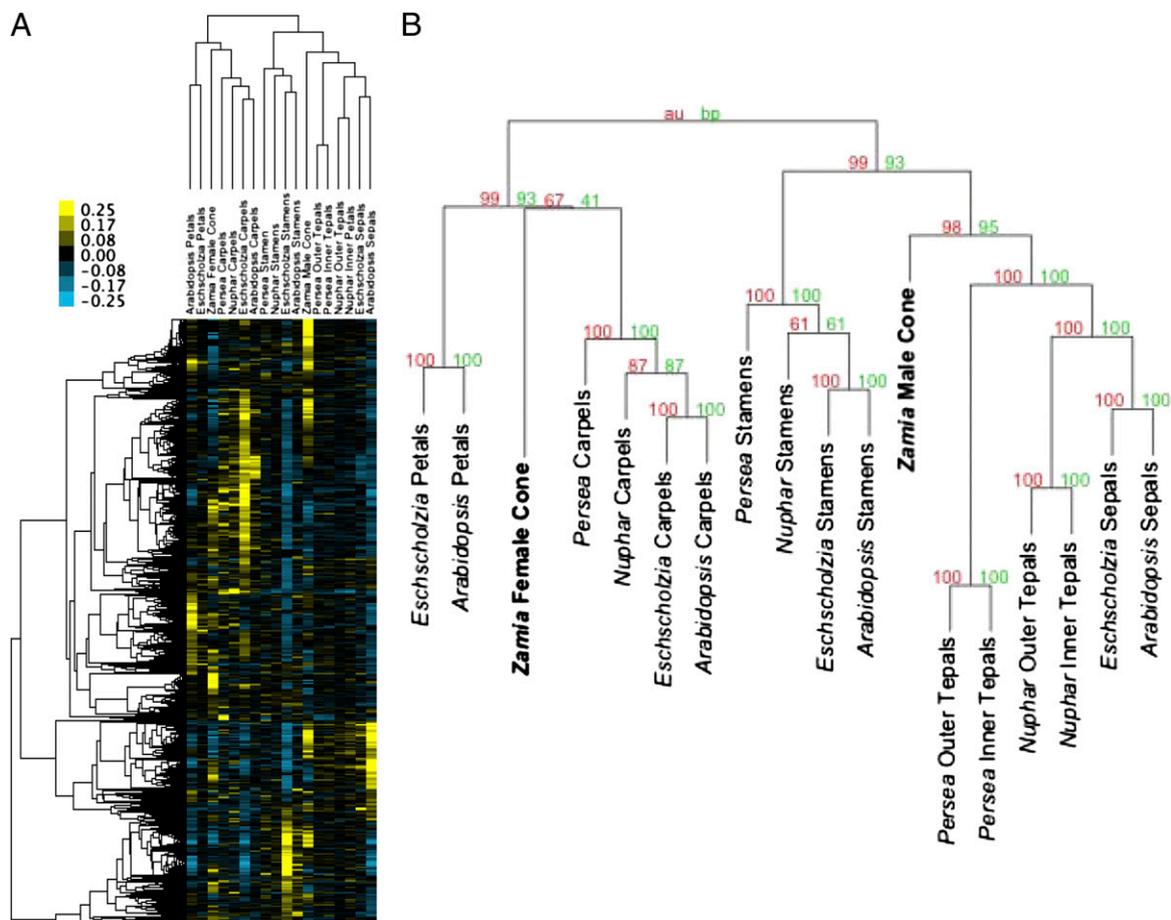
Because the reproductive cones of gymnosperms are the likely evolutionary precursors for flowers (5, 28, 29), we compared the expression profiles of male and female cones of the cycad *Zamia vaxquezii* with those of angiosperm floral organs. Cluster analyses retrieved the organwise topology for floral organs described above with *Zamia* male cones placed next to the sepals/tepals cluster and female cones closest to angiosperm carpels (Fig. 4). Genes in the sepals/tepals cluster were unequally divided between expression primarily in male and female cones. Enrich-

ment statistics identify photosynthesis and defense-related processes in both subsets (Table S1), but most enriched binding sites are associated with the subset expressed in male cones (Table S2). Genes coexpressed in stamens and male cones share significant enrichment for response to external stimuli and various metabolic processes (Table S1) and the suite of G-box, UPR and CArG binding sites of the stamen genes. Genes coexpressed in carpels and female cones regulate gene expression and share the TELO-box and E2F motifs (Table S2), as did carpels considered alone. Small gene clusters involving genes coexpressed in petals and either of the *Zamia* reproductive cones lack significant enrichment for GO annotation terms or TFBS.

## Discussion

Evolutionary reconstructions of expression patterns of key floral transcription factors show progressively more spatially restricted deployment throughout angiosperm evolution, from across the floral meristem in *Amborella*, *Nuphar*, and *Persea*, for example, to specific organs in *Arabidopsis* and other eudicots (12, 30, 31). Likewise, the transcriptional cascades that are broadly deployed in *Nuphar* and *Persea* have been tightly constrained spatially within organ-specific boundaries in *Eschscholzia* and *Arabidopsis*. The molecular mechanisms responsible for this distinction likely lie within the machinery of the ABCE model itself. Especially relevant is the “fading borders” modification of the ABCE model (32), in which floral transcriptional regulators are broadly arrayed across the flower with gradually fading gradients of influence from focal to peripheral organ categories imparting intergrading morphologies across floral organs (Fig. 5).

Our observations that transcriptional programs operate broadly across adjacent floral organs in *Nuphar* and *Persea* ex-



**Fig. 4.** (A) Expression profiles of *Zamia* reproductive cones share moderate similarity with angiosperm functional counterparts. Male cones are genetically closest to the angiosperm sepals/tepals cluster in the larger cluster with stamens, whereas female cones are genetically closest to carpels. Cluster analyses are based on previously analyzed RA expression data (Fig. 3) and an additional 825 *Arabidopsis* genes tagged as putative homologs in the *Zamia* data set. RA values for *Zamia* were mean centered across male and female cones and then halved to adjust their color range to that of the angiosperms; color scale ranges from 0.25 (yellow) to  $-0.25$  (blue). (B) Bootstrap (red) and approximately unbiased (green) support values indicate strong support for the position of *Zamia* male cones, but the placement of female cones with carpels is not well supported.

tend the “fading borders” model from the action of specific regulatory genes to downstream transcriptional cascades in floral development (see also ref. 17) and support the inference that this model is the ancestral regulatory program for flowers. A shared transcriptional program across the perianth organs and stamens of *Aquilegia* (Ranunculales) (33) suggests that some form of “fading borders” operates in some basal members of the eudicot clade as well. Moreover, extrapolating the trajectory of floral transcriptome evolution to its likely origin leads to an ancestrally uniform program in which separate components (if any) overlap fully, resembling the genetic program operating in unisexual gymnosperm cones (Fig. 5). This gymnosperm program would have been progressively compartmentalized during floral evolution, with flowers developing through the “fading borders” program before the strict ABCE scheme. Autoregulatory feed-back loops (5) and negative regulators that maintain strict spatial domains of ABCE function, at least in *Arabidopsis* (34; see ref. 35 for a comprehensive review), may have contributed to the sharpening of transcriptional boundaries, but the broadly overlapping floral transcriptional cascades evident in *Nuphar* and *Persea* suggest that adjacent organ identity functions are not separated fully from each other in these flowers (Fig. 5). Homologs of organ-identity genes also are broadly expressed during the initial stages of *Nuphar* and *Persea* floral development (12, 30), when organ identity is thought to be specified, suggesting that the transcrip-

tional patterns we find in their late-stage flowers also may characterize their early developmental programs.

Despite broader spatial deployment of organwise transcriptional programs in *Nuphar* and *Persea*, we found substantial conservation in the genetic profiles of carpels and stamens, respectively, across angiosperms. The hierarchy of genetic relationships between these reproductive organs and sepals, petals, tepals, and gymnosperm reproductive cones, together with supporting data from GO annotation and TFBS enrichment analyses, provide unprecedented insights into the deep history of floral organ evolution.

The placement of *Zamia* female reproductive cones with carpels (Fig. 3), despite an evolutionary distance spanning perhaps 300 million years (36), may be a remarkable testament to the antiquity encapsulated in the ovule, the defining innovation of seed plants. Because both angiosperms and gymnosperms bear ovules, the transcriptional cascade contributed to carpels by ovules may be their most ancient component. Indeed, the regulation of gene expression and developmental processes that are dominant in both angiosperm carpels and female gymnosperm cones (Table S1) may relate to ovule development, which in carpels occurs during late floral development. The association of *Arabidopsis* and *Eschscholzia* petals with carpels is robust to random and directed resampling analyses (Fig. S1) but conflicts with the proposed recent origin of eudicot petals from stamens, sepals, or tepals (37). However, enrichment statistics indicate



Ancestral female genetic programs inherited from gymnosperms are moderately conserved in angiosperm carpels, whereas male gymnosperm reproductive programs are dispersed among stamens and perianth organs. Canalization of ancestrally overlapping “fading borders” transcriptional cascades to produce organ-specific patterns of expression in angiosperm flowers may trace to the origin of the strict ABCE scheme characteristic of the eudicot angiosperms, although an earlier canalization that includes the monocots and/or multiple independent canalizations cannot be discounted.

## Methods

Microarray expression data for leaves and mature floral organs were extracted from data sets for *Eschscholzia* [Gene Expression Omnibus (GEO) accession no. GSE24237], *Arabidopsis* (GEO accession no. GSE5632), *Persea* (GEO accession no. GSE13737), and *Nuphar* (GEO accession no. GSE23082). Cross-species analyses were conducted on RA measures of gene expression among floral organs within species to remove systemic biases (i.e., normalized) across the species-specific datasets (20). RA scores were assembled into a multispecies expression matrix composed of 6,584 *Arabidopsis*, 4,006 *Nuphar*, 3,725 *Persea*, and 4,568 *Eschscholzia* genes identified as likely homologs through best reciprocal tBLASTx (National Center for Biotechnology Information) E-scores <10–5. A total of 125,502 transcripts was collected by 454 transcriptome sequencing of nonnormalized cDNA libraries made from immature (likely premeiotic) male and female *Zamia* cones [Sequence Read Archive (SRA) accession nos. SRX019097 and SRX019098, re-

spectively]; 78,843 *Zamia* transcripts assembled into unigenes potentially homologous with 6,920 *Arabidopsis* genes (tBLASTx E-score <10–5). Tag counts per unigene per library were summarized on the basis of putative homology with *Arabidopsis* genes and normalized to the total number of tags in the respective libraries. RA measures of *Zamia* gene expression across cones were calculated by dividing normalized tag counts by their sum across cones and were appended to the angiosperm data (together with data for 825 additional *Arabidopsis* genes not tagged in *Nuphar*, *Persea*, or *Eschscholzia*) on the basis of putative homology. Cluster analyses are based on Pearson correlation scores with the average-linkage clustering algorithm implemented in Cluster 3.0 (41), and the results were visualized with Java TreeView (42). Support values for organwise clusters were estimated with the same clustering parameters using the R package Pvclust (43) with 10,000 replicate runs. GO annotation enrichment analyses were conducted with Benjamini and Hochberg false discovery rate correction and significance set at  $P < 0.05$  using the Cytoscape (44) plug-in BiNGO (45). TBFS enrichment analyses were conducted with Bonferroni correction and significance set at  $P < 0.05$  using Athena (46).

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